



NOTICE OF MEETING

A meeting of the **AUDIT AND SCRUTINY COMMITTEE** will be held by **SKYPE** on **TUESDAY, 22 SEPTEMBER 2020** at **10:00 AM**, which you are requested to attend.

Douglas Hendry
Executive Director

BUSINESS

- 1. APOLOGIES**
- 2. DECLARATIONS OF INTEREST**
- 3. MINUTE OF PREVIOUS MEETING HELD ON 17 MARCH 2020** (Pages 5 - 16)
- AUDIT ITEMS**
- 4. INTERNAL AUDIT SUMMARY OF ACTIVITIES** (Pages 17 - 22)
Report by Chief Internal Auditor
- 5. INTERNAL AUDIT REPORTS TO AUDIT AND SCRUTINY COMMITTEE 2019/20**
(Pages 23 - 72)
Report by Chief Internal Auditor
 - Legionella Improvement Plan – TO FOLLOW
 - Social Care Contract Management
 - Education Procurement
 - Logical Access
- 6. INTERNAL AND EXTERNAL AUDIT REPORT FOLLOW UP** (Pages 73 - 82)
Report by Chief Internal Auditor
- 7. 2019/20 AUDIT PROGRESS REPORT** (Pages 83 - 94)
Report by Audit Scotland
- 8. AUDIT SCOTLAND REPORT: COVID 19 - GUIDE FOR AUDIT AND RISK COMMITTEES** (Pages 95 - 106)

Report by Audit Scotland

9. REVISED 2020/21 INTERNAL AUDIT ANNUAL PLAN (Pages 107 - 120)

Report by Chief Internal Auditor

10. INTERNAL AUDIT ANNUAL REPORT 2019/20 (Pages 121 - 132)

Report by Chief Internal Auditor

SCRUTINY ITEMS

11. UPDATE BY CHAIR(S) OF THE SCRUTINY PANELS

Verbal Update by Martin Caldwell and Councillor Sandy Taylor

12. STRATEGIC RISK REGISTER (Pages 133 - 138)

Report by Chief Executive

13. STRATEGIC RISK REGISTER - ASSURANCE MAPPING (Pages 139 - 152)

Report by Chief Internal Auditor

14. AUDIT SCOTLAND/ACCOUNTS COMMISSION STATUTORY REPORT AND COUNCIL ACTION PLAN (Pages 153 - 220)

Report by Chief Executive

15. AUDIT AND SCRUTINY COMMITTEE ANNUAL REPORT 2019/20 (Pages 221 - 228)

Report by Chair of the Audit and Scrutiny Committee

16. TRUST FUND ANNUAL ACCOUNTS - TO FOLLOW

Report by Audit Scotland

17. COUNCIL 6-MONTH PERFORMANCE REPORT - OCTOBER 2019 TO MARCH 2020 (Pages 229 - 290)

Report by Chief Executive

18. REVIEW OF CODE OF CORPORATE GOVERNANCE (Pages 291 - 334)

Report by Executive Director with responsibility for Legal and Regulatory Support

19. CORPORATE COMPLAINTS ANNUAL REPORT 2019/20 (Pages 335 - 372)

Report by Executive Director with responsibility for Legal and Regulatory Support

20. FREEDOM OF INFORMATION - ANNUAL REPORT 2019-20 (Pages 373 - 382)

Report by Executive Director with responsibility for Governance, Risk and Safety

21. AUDIT AND SCRUTINY WORKPLAN (Pages 383 - 386)

For noting and updating

Audit and Scrutiny Committee

Martin Caldwell (Chair)

Councillor George Freeman

Councillor Alan Reid

Councillor Richard Trail

Councillor Jim Findlay

Councillor Sir Jamie McGrigor

Councillor Sandy Taylor (Vice-Chair)

Councillor Andrew Vennard

Contact: Lynsey Innis, Senior Committee Assistant; Tel: 01546 604338